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HDP/SB/21 based on PTO/SB/21 (08-00)

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| Application Number     | 10/569,957               |  |  |  |  |  |
|------------------------|--------------------------|--|--|--|--|--|
| Filing Date            | November 30, 2006        |  |  |  |  |  |
| Inventor(s)            | Kristine FUIMAONO et al. |  |  |  |  |  |
| Group Art Unit         | 3777                     |  |  |  |  |  |
| Examiner Name          | Hien Nguyen              |  |  |  |  |  |
| Attorney Docket Number | 32860-001019/US          |  |  |  |  |  |

| ENCLOSURES (check all that apply)                     |  |                                    |  |   |                 |  |  |  |
|---|--|------------------------------------|--|---|-----------------|--|--|--|
| Fee Transmittal Fo                                    | orm  | Assignment                         | Papers   | After Allowance Communication to Group  |                 |  |  |  |
| ∑ Fee Attached     ☐ Check No. 200                    | 064 for \$540.00                           |                                    | e Official Draftsperson and ets of Formal Drawing(s) | LETTER SUBMITTING APPEAL BRIEF AND APPEAL BRIEF (w/clean version of pending claims) |                 |  |  |  |
| Amendment   |  | Licensing-re                       | elated Papers  | Appeal Communication to Group (Notice of Appeal, Brief, Reply Brief)                |                 |  |  |  |
| After Final   |  | Petition                           |  | Proprietary Information   |                 |  |  |  |
| Affidavits/decl                                       | aration(s)                                 | Petition to 0 Provisional          | Convert to a<br>Application                          | Status Letter   |                 |  |  |  |
| Extension of Time                                     | Request                                    |                                    | ttorney, Revocation<br>Correspondence Address        | Other Enclosure(s) (please identify below):   |                 |  |  |  |
| Express Abandon                                       | ment Request                               | ☐ Terminal Di☐ Request for         |  |   |                 |  |  |  |
| ☐ Information Disclo                                  | sure Statement                             | CD, Numbe                          | er of CD(s)  |   |                 |  |  |  |
| Certified Copy of I                                   | Priority                                   | Remarks                            |  |   |                 |  |  |  |
| Response to Miss Incomplete Applic                    | •  | Mail Stop: Appeal Briefs - Patents |  |   |                 |  |  |  |
| Response to Miss<br>Parts under 37 CF<br>1.52 or 1.53 |  |                                    |  |   |                 |  |  |  |
|   | SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                                    |  |   |                 |  |  |  |
| Firm<br><i>or</i><br>Individual name                  | Harness, Dickey & Pierce, P.L.C.           |                                    | Attorney Name<br>Donald J. Daley                     |   | Reg. No. 34,313 |  |  |  |
| Signature   | HReg. No. 56, 205                          |                                    |  |   |                 |  |  |  |
| Date  | February 28, 2011                          |                                    |  |   |                 |  |  |  |

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## FEE TRANSMITTAL for FY 2009 Effective 2/8/2006. Patent fees are subject to annual revision. Applicant claims small entity status. See 37 CFR 1.27 TOTAL AMOUNT OF PAYMENT (\$) 540 Complete if Known Application Number 10/569,957 Filing Date November 30, 2006 First Named Inventor Examiner Name Hien Nguyen Art Unit 3777 Attorney Docket No. 32860-001019/US

| TOTAL AMOUNT OF PAYMENT (\$) 540   |                                     |                                    |                           |                    |                             | Attorney Docket No. 32860-001019/US |   |   |  |   |         |          |  |
|--|-------------------------------------|------------------------------------|---------------------------|--------------------|-----------------------------|-------------------------------------|---|---|--|---|---------|----------|--|
| METHOD OF PAYMENT (check all that apply)   |                                     |                                    |                           |                    | FEE CALCULATION (continued) |                                     |   |   |  |   |         |          |  |
|  |                                     |                                    |                           | 3. ADDITIONAL FEES |                             |                                     |   |   |  |   |         |          |  |
| ☑ Check ☐ Credit card ☐ Money ☐ Other ☐ None   |                                     |                                    | Large Entity Small Entity |                    |                             |                                     |   |   |  |   |         |          |  |
| Order  Deposit Account:  |                                     |                                    |                           | Fee<br>Code        | Fee<br>(\$)                 | Fee<br>Code                         | Fee<br>(\$)                             | Fee De                                  | scription  | F   | ee Paid |          |  |
| Deposit  |                                     |                                    | 1051                      | 130                | 2051                        | 65                                  | Surcharge - late                        | •                                       |  |   |         |          |  |
| Account<br>Number  |                                     |                                    |                           |                    | 1052                        | 50                                  | 2052                                    | 25                                      | Surcharge - late provisional filing fee or cover sheet.          |   |         |          |  |
| Donneit  |                                     |                                    |                           | 1053               | 130                         | 1053                                | 130                                     | Non-English spe                         |  |   |         |          |  |
| Deposit Account Harness, Dickey & Pierce, P.L.C.   |                                     |                                    |                           | 1812               | 2,520                       | 1812                                | 2,520                                   | For filing a reque                      |  |   |         |          |  |
| Name   |                                     |                                    |                           |                    | 1804                        | 920*                                | 1804                                    | 920*                                    | Requesting publ<br>Examiner action                               |   | onor to |          |  |
| The Director is authorized to: (check all that apply)  ☐ Charge fee(s) indicated below ☑ Credit any overpayments |                                     |                                    |                           | 1805               | 1,840*                      | 1805                                | 1,840*                                  | Requesting publ<br>Examiner action      |  | after   |         |          |  |
| ☐ Charge any additional fee(s) during the pendency of this application   |                                     |                                    |                           | 1251               | 130                         | 2251                                | 65                                      | Extension for rep                       | oly within first r   | nonth   |         |          |  |
| ☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.              |                                     |                                    |                           |                    | 1252                        | 490                                 | 2252                                    | 245                                     | Extension for rep<br>month                                       | oly within seco   | nd      |          |  |
|  | FEE CALCUL                          | LATION                             |                           |                    | 1253                        | 1,110                               | 2253                                    | 555                                     | Extension for rep  | oly within third  | month   |          |  |
| 1. BASIC FILING FEE  |                                     |                                    |                           | 1254               | 1,730                       | 2254                                | 865                                     | Extension for reply within fourth month |  |   |         |          |  |
|  | <u>Small Entity</u><br>ee Fee Fee D | escription                         |                           |                    | 1255                        | 2,350                               | 2255                                    | 1,175                                   | Extension for rep  | oly within fifth r  | nonth   |          |  |
|  | ode (\$)                            | escription                         | Fee Paid                  |                    | 1401                        | 540                                 | 2401                                    | 270                                     | Notice of Appea  | }   |         |          |  |
| 1011 330 2   | 011 165 Utility                     | filing fee                         |                           |                    | 1402                        | 540                                 | 2402                                    | 270                                     | Filing a brief in s  | upport of an a  | ppeal   | 540      |  |
| 1012 220 2   | 012 110 Desig                       | n filing fee                       |                           |                    | 1403                        | 1,080                               | 2403                                    | 540                                     | Request for oral   | hearing   |         |          |  |
| 1013 220 2   | 013 110 Plant (                     | filing fee                         |                           | _                  | 1452                        | 540                                 | 2452                                    | 270                                     |  | to revive – unavoidable                                     |         |          |  |
|  |                                     | ue filing fee                      |                           | _                  | 1453                        | 1,620                               | 2453                                    | 810                                     |  | Petition to revive – unintentional                          |         |          |  |
| 1005 220 2   | 005 110 Provis                      | sional filling fee                 | l                         |                    | 1462                        | 400                                 | 1462                                    | 400                                     |  | etition fee under 37 CFR 1.17(f)                            |         |          |  |
|  | SUBTOTAL (1)                        |                                    | (\$) 0                    | ¬                  | 1463                        | 200                                 | 1463                                    | 200                                     | Petition fee unde  |   |         |          |  |
|  | 002101712(1)                        |                                    |                           |                    | 1464                        | 130                                 | 1464                                    | 130                                     | Petition fee unde  |   |         |          |  |
| 2. EXTRA CLA   | IM FEES FOR UTI                     |                                    |                           |                    | 1807                        | 50                                  | 1807                                    | 50                                      | _  | essing fee under 37 CFR 1.17 (q)                            |         |          |  |
| Extra Fee from Fee<br>Claims below Paid  |                                     |                                    |                           | 1806               | 180                         | 1806                                | 180                                     | Submission of Ir<br>Stmt                | nformation Disc  | closure   |         |          |  |
| Total Claims 17 Independent 2  | 29 ** = <u>0</u><br>-4 ** = 0       | -  x                               | = 0                       | $\exists$          | 8021                        | 40                                  | 8021                                    | 40                                      | Recording each<br>per property (time<br>properties)              |   | ment    |          |  |
| Multiple   | = 0                                 |                                    |                           |                    |                             | 810                                 | 2809                                    | 405                                     |  | iling a submission after final rejection 87 CFR § 1.129(a)) |         |          |  |
| Dependent U  |                                     |                                    |                           |                    | 1810                        | 810                                 | 2810                                    | 405                                     | For each additional invention to be examined (37 CFR § 1.129(b)) |   |         |          |  |
| Fee Fee Fee Fee Description  |                                     |                                    |                           | 1801               | 810                         | 2801                                | 405                                     | Request for Cont                        |  |   |         |          |  |
| Code (\$)<br>1202 52   | Coge (\$) -                         | Claims in excess                   | of 20                     |                    | Othor                       | fee (spec                           | 1<br>:6./\                              |   | (RCE)  |   |         |          |  |
| 1201 220   | 2201 110 lr                         | ndependent clain                   | ns in excess of 3         | 3                  |                             |                                     | • | -<br>:                                  | Daid CUDT  | OTAL (3)  | (\$)540 | ——       |  |
| 1203 390   | 2203 195 M                          | fultiple depender                  | nt claim, if not pa       | aid                | L                           | -                                   | Basic Fill                              | -                                       | ON FEES  | OTAL (3)  | (#)540  |          |  |
| 1204 220   | 1 2204 110                          | * Reissue indepe                   | ndent claims ov           | er                 |                             |                                     |   |   |  |   |         |          |  |
|  |                                     | riginal patent<br>* Reissue claims | in excess of 20           | and                | 1111<br>1112                | 540<br>100                          | 2111                                    | 270<br>50                               | Utility Search Fe<br>Design Search I                             |   |         | $\vdash$ |  |
| 1205 52 2205 26 ** Reissue claims in excess of 20 and over original patent                                       |                                     | and                                | 1113                      | 330                | 2112                        | 165                                 | •                                       | Plant Search Fee                        |  |   |         |          |  |
|  |                                     | 1114                               | 540                       | 2114               | 270                         | Reissue Search Fee                  |   |   |  |   |         |          |  |
|  | SUBTO                               | TAL (2) (\$)                       | )                         |                    | 1311                        | 220                                 | 2311                                    | 110                                     | Utility Examinati  |   |         | $\vdash$ |  |
| <u> </u>   |                                     |                                    |                           |                    |                             | 140                                 | 2312                                    | 70                                      | Design Examina   |   |         |          |  |
|  |                                     |                                    |                           |                    | 1312<br>1313                | 170                                 | 2313                                    | 85                                      | -  | Plant Examination Fee                                       |         |          |  |
|  |                                     |                                    |                           |                    | 1314                        | 650                                 | 2314                                    | 325                                     | Reissue Examination Fee  |   |         |          |  |
| **or number previously paid, if greater; For Reissues, see above   |                                     |                                    |                           |                    |                             |                                     | 1                                       |   | SUBTOTAL (4) (\$)0   |   |         |          |  |
| SUBMITTED BY   |                                     |                                    |                           |                    |                             |                                     |   |   | Com  | plete (if applica   | ble)    |          |  |
| Name (Print/Type)  Donald J. Daley  Registration No. (Attorney/Agent)  |                                     |                                    |                           |                    | . 34                        | ,313                                |   | Telephone (703) 668-8000                |  |   |         |          |  |
|  |                                     |                                    |                           |                    |                             |                                     |   | February 28                             | February 28, 2011  |   |         |          |  |
| Signature TY Res. No. 36   |                                     |                                    |                           |                    | ZO 4                        | 5                                   |   |   |  | , 55.50,  |         |          |  |